

PHLY-24,732



GP 2755

#6

PATENT

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11-30-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TC 2700 MAIL ROOM

OCT -6 2000

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In re application of: Jeffry Jovan PHILYAW and David Kent MATHEWS

Serial No.: 09/382,426

Filed: August 24, 1999

Group: 2755

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR COMPLETING, SECURING AND
CONDUCTING AN E-COMMERCE TRANSACTION

Assistant Commissioner for
Patents
Washington, D.C. 20231

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United States Postal Service as first class mail in an envelope
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20231 on

27 SEPT. 2000

(Date of Deposit)

John L. Arnott Reg. No. 39,095

(Name of Person Mailing Document)

John L. Arnott

(Signature)

9/27/00

(Date of Signature)

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, attached please find a Form PTO-1449 listing information for consideration by the Office in connection with its examination of the above-captioned patent application. This information disclosure statement supplements an information disclosure statement previously submitted in connection with this application on September 22, 1999.

It is brought to the Examiner's attention that the references listed on the attached Form PTO-1449 were previously submitted to the Office in connection with a prior application, namely

INFORMATION DISCLOSURE STATEMENT
Serial No. 09/382,426

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Application Serial No. 09/151,471, which is relied upon in the current application for an earlier filing date under 35 U.S.C. §120. Pursuant to 37 C.F.R. 1.98(d), copies of such previously submitted items are not provided herein.

To Applicants' knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Therefore, pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed necessary for its consideration. Applicants request that this information disclosure statement be considered and that a copy of the Form PTO-1449 be returned to the undersigned indicating the consideration of each document listed.

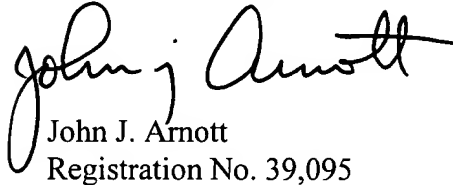
REMARKS

Applicants submit that no representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of these materials in any way reflects their relevant pertinence. The listing on the attached Form PTO-1449 is not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that any of the items listed are properly citable against the above-identified application as prior art. Applicants respectfully submit that their invention is patentable over the enclosed documents listed on Form PTO-1449.

Please charge any additional fees or deficiencies in fees necessary for the filing of this paper or credit any overpayment to Deposit Account No. 20-0780/PHLY-24,732 of HOWISON, CHAUZA, HANDLEY & ARNOTT, L.L.P.

Respectfully submitted,

HOWISON, CHAUZA, HANDLEY & ARNOTT, L.L.P.
Attorneys for Applicants



John J. Arnott
Registration No. 39,095

JJA/ej

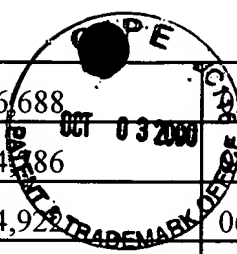
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September 26, 2000

FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. PHLY-24,732	SERIAL NO. 09/382,426
(Use several sheets if necessary)		APPLICANT Jeffry Jovan Philyaw and David Kent Mathews	
		FILING DATE August 24, 1999	GROUP 2755

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
TB	1	4,002,886	01/11/77	Sundelin	235	61.7R	RECEIVED OCT -6 2000 MAIL ROOM
TB	2	4,365,148	12/21/82	Whitney	235	383	
TB	3	4,780,599	10/25/88	Baus	235	383	
TB	4	4,785,296	11/15/88	Tabata et al.	340	731	
TB	5	4,833,308	05/23/89	Humble	235	383	
TB	6	4,845,634	07/04/89	Vitek et al.	364	468	
TB	7	4,901,073	02/13/90	Kibrick	341	13	
TB	8	4,916,293	04/10/90	Cartlidge et al.	235	375	
TB	9	4,959,530	09/25/90	O'Connor	235	383	
TB	10	5,038,023	08/06/91	Saliga	235	385	
TB	11	5,054,096	10/01/91	Beizer	382	41	
TB	12	5,088,045	02/11/92	Shimanaka et al.	364	468	
TB	13	5,111,391	05/05/92	Fields et al.	364	401	
TB	14	5,115,326	05/19/92	Burgess et al.	358	440	
TB	15	5,161,037	11/03/92	Saito	358	468	
TB	16	5,161,214	11/03/92	Addink et al.	395	145	
TB	17	5,182,705	01/26/93	Barr et al.	364	401	
TB	18	5,189,630	02/23/93	Barstow et al.	364	514	
TB	19	5,191,525	03/02/93	LeBrun et al.	364	419	
TB	20	5,198,644	03/30/93	Pfeiffer et al.	235	383	
TB	21	5,235,654	08/10/93	Anderson et al.	382	61	
TB	22	5,241,402	08/31/93	Aboujaoude et al.	358	406	
TB	23	5,243,531	09/07/93	DiPippo et al.	364	468	
TB	24	5,288,976	02/22/94	Citron et al.	235	375	

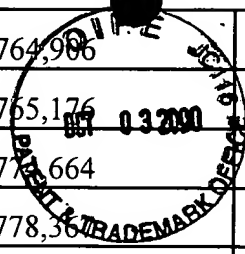
TB	25	5,296,688	08/22/94	Hamilton et al.	235	375	
TB	26	5,304,186	04/19/94	Pavlidis et al.	235	462	
TB	27	5,324,922	06/28/94	Roberts	235	375	
TB	28	5,331,547	07/19/94	Laszlo	364	413	
TB	29	5,340,966	08/23/94	Morimoto	235	376	
TB	30	5,362,948	11/08/94	Morimoto	235	376	
TB	31	5,382,779	01/17/95	Gupta	235	383	
TB	32	5,386,298	01/31/95	Bronnenberg et al.	358	403	
TB	33	5,398,336	03/14/95	Tantry et al.	395	600	
TB	34	5,405,232	04/11/95	Lloyd et al.	414	280	
TB	35	5,418,713	05/23/95	Allen	364	403	
TB	36	5,420,403	05/30/95	Allum et al.	235	375	
TB	37	5,420,943	05/30/95	Mak	382	313	
TB	38	5,424,524	06/13/95	Ruppert et al.	235	462	
TB	39	5,491,508	02/13/96	Friedell et al.	348	16	
TB	40	5,493,107	02/20/96	Gupta et al.	235	383	
TB	41	5,519,878	05/21/96	Dolin, Jr.	395	800	
TB	42	5,530,852	06/25/96	Meske, Jr. et al.	396	600	
TB	43	5,572,643	11/05/96	Judson	395	793	
TB	44	5,592,551	01/07/97	Lett et al.	380	20	
TB	45	5,594,226	01/14/97	Steger	235	379	
TB	46	5,602,377	02/11/97	Beller et al.	235	462	
TB	47	5,649,186	07/15/97	Ferguson	395	610	
TB	48	5,671,282	09/23/97	Wolff et al.	380	25	
TB	49	5,675,721	10/07/97	Freedman et al.	395	129	
TB	50	5,682,540	10/28/97	Klotz, Jr. et al.	395	766	
TB	51	5,694,163	12/02/97	Harrison	348	13	
TB	52	5,710,887	01/20/98	Chelliah et al.	395	226	
TB	53	5,745,681	04/28/98	Levine et al.	395	200.3	
TB	54	5,754,906	05/19/98	Yoshida	396	448	
TB	55	5,757,917	05/26/98	Rose et al.	380	25	



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TB	56	5,764,986	06/09/98	Edelstein et al.	395	200.49	
TB	57	5,765,176	06/09/98	Bloomberg	707	514	
TB	58	5,773,664	06/30/98	Hidary et al.	395	200	
TB	59	5,778,367	07/07/98	Wesinger, Jr. et al.	707	10	
TB	60	5,791,991	08/11/98	Small	463	41	
TB	61	5,796,952	08/18/98	Davis et al.	305	200.55	
TB	62	5,804,803	09/08/98	Cragun et al.	235	375	
TB	63	5,815,776	09/29/98	Nukada	399	174	
TB	64	5,832,223	11/03/98	Hara et al.	395	200.47	
TB	65	5,833,468	11/10/98	Guy et al.	434	350	
TB	66	5,848,202	12/08/98	D'Eri et al.	382	306	
TB	67	5,848,413	12/08/98	Wolff	707	10	
TB	68	5,854,897	12/29/98	Radziewicz et al.	709	224	
TB	69	5,864,823	01/26/99	Levitan	105	14	
TB	70	5,869,819	02/09/99	Knowles et al.	235	375	
TB	71	5,905,248	05/18/99	Russell et al.	235	462	
TB	72	5,905,665	05/18/99	Rim	364	746	
TB	73	5,905,865	05/18/99	Palmer et al.	395	200.47	
TB	74	5,913,210	06/15/99	Call	707	4	
TB	75	5,915,090	06/22/99	Joseph et al.	709	202	
TB	76	5,918,214	06/29/00	Perkowski	705	27	
TB	77	5,925,865	07/20/99	Steger	235	379	
TB	78	5,929,850	07/27/99	Broadwin et al.	345	327	
TB	79	5,932,863	08/03/99	Rathus et al.	235	462.15	
TB	80	5,948,061	09/07/99	Merriman et al.	709	219	
TB	81	5,957,695	09/28/99	Redford et al.	434	307R	
TB	82	5,960,411	09/28/99	Hartman et al.	705	26	
TB	83	5,961,603	10/05/99	Kunkel et al.	709	229	
TB	84	5,970,471	10/19/99	Hill	705	26	
TB	85	5,970,472	10/19/99	Allsop et al.	705	26	
TB	86	5,971,277	10/26/99	Cragun et al.	235	462.01	



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TB	87	5,974,442	10/26/99	Jeske	709	202	
TB	88	5,974,451	10/26/99	Simmons	709	218	
TB	89	5,976,855	11/02/99	Furukawa et al.	435	69.1	
TB	90	5,978,773	11/02/99	Hudetz et al.	709	219	
TB	91	5,991,739	11/23/99	Cupps et al.	705	26	
TB	92	5,992,752	11/30/99	Wilz, Sr. et al.	235	472.01	
TB	93	5,995,105	11/30/99	Reber et al.	345	356	
TB	94	6,002,394	12/14/99	Schein et al.	345	327	
TB	95	6,003,073	12/14/99	Solvason	709	219	
TB	96	6,006,257	12/21/99	Slezak	709	219	
TB	97	6,009,410	12/28/99	LeMole et al.	709	219	
TB	98	6,009,465	12/28/99	Decker et al.	709	219	
TB	99	6,012,102	01/04/00	Shachar	710	5	
TB	100	6,018,764	01/25/00	Field et al.	709	217	
TB	101	6,049,539	04/11/00	Lee et al.	370	355	
TB	102	6,064,929	05/16/00	Perkowski	705	26	
TB	103	6,108,656	08/22/00	Durst et al.	707	10	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
TB	F1	WO 95/10813	10/14/94	PCT	G06F	15/403	NO
TB	F2	WO 96/07146	9/1/95	PCT	G06F	17/00	NO
TB	F3	WO 97/37319	2/20/97	PCT	G06K	7/10	NO
TB	F4	WO 98/03923	1/29/98	PCT	G06F	15/163	NO
TB	F5	WO 98/06055	2/12/98	PCT	G06F	163/00	NO
TB	F6	WO 98/09243	8/22/97	PCT	G06F	19/00	NO
TB	F7	WO 98/19259	5/7/98	PCT	G06F	17/60	NO
TB	F8	WO 98/40823	9/17/98	PCT	G06F	13/00	NO
TB	F9	WO 99/63457	6/3/99	PCT	G06F	17/30	NO
TB	F10	EP 0 961 250 A2	12/1/99	EP	G07F	19/00	NO
TB	F11	JP 10188140A	12/24/96	JP	G07G	1/12	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

D1	"Group Decision Support System: Development and Application", Energy Systems, Westinghouse, Pittsburgh, PA
D2	"New Technologies in Credit Card Authentication", Pieter de Bryne, Institute for Communications Technology, Zurich, Switzerland
D3	"eVITAL, a Private Teaching System by Fax Communication", Atsushi Iizawa, Norihiro Sugiki, Yukari Shitara and Hideko Kunii, Software Research Center, Tokyo, Japan
D4	"Document on Computer" USPS Technical Support Center, Norman, OK
D5	"Development of a Commercially Successful Wearable Data Collection System", Symbol Technologies, Inc.
D6	"What do forward looking companies consider in their plans and developments?", A.G. Johnston, Nestle
D7	"The Automation Synergy", Neves and Noivo, Portugal
D8	"Integration of Hand-Written Address Interpretation Technology into the United States Postal Service Remote Computer Reader System", Srihari (CEDAR, SUNY at Buffalo) and Kueberg (U.S. Postal Service, VA)
D9	"Paper Based Document Security - A Review", van Renesse, TNO Institute of Applied Physics, The Netherlands
D10	"IEEE Standard for Bar Coding for Distribution Transformers" Transformers Committee of the IEEE Power Engineering Society, The Institute of Electrical and Electronics Engineers, Inc. NY
D11	"The Stylus™-Shopping from Home", STYLUS Innovation, MA
D12	"Distributing Uniform Resource Locators as Bar Code Images", IBM Technical Disclosure Bulletin, Jan 1996
D13	"Bar Code Method for Automating Catalog Orders", IBM Technical Disclosure Bulletin, September 1998
D14	"Bar-Code Recognition System Using Image Processing", Kuroki, Yoneoka et al., Hitachi Research Laboratory
EXAMINER <u>ZB</u> DATE CONSIDERED <u>2/27/02</u>	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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